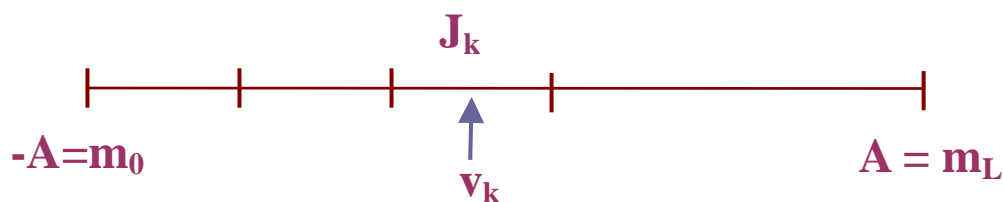


## 2.4 Vector Quantization (VQ)

### Scalar Quantization



$$S = \bigcup_{k=1}^L J_k, V = \{ v_1, v_2, \dots, v_L \}$$

$$Q : S \rightarrow V$$

$$Q(x[n]) = v_k \quad \text{if} \quad x[n] \in J_k$$

$$L = 2^R$$

Each  $v_k$  represented by a R-bit pattern

- Quantization characteristics (codebook)

$$\{ J_1, J_2, \dots, J_L \} \quad \text{and} \quad \{ v_1, v_2, \dots, v_L \}$$

designed considering at least

1. error sensitivity
2. probability distribution of  $x[n]$

## 2-dim Vector Quantization (VQ)

Example:

$$\bar{x}_n = (x[n], x[n+1])$$

$$S = \{\bar{x}_n = (x[n], x[n+1]) ; |x[n]| < A, |x[n+1]| < A\}$$

### • VQ

- S divided into L 2-dim regions  $J_1, J_2, \dots, J_k, \dots, J_L$

$$S = \bigcup_{k=1}^L J_k$$

each with a representative vector  $\bar{v}_k \in J_k$

$$V = \{\bar{v}_1, \bar{v}_2, \dots, \bar{v}_L\}$$

-  $Q : S \rightarrow V$

$$Q(\bar{x}_n) = \bar{v}_k \quad \text{if} \quad \bar{x}_n \in J_k$$

$$L = 2^R$$

each  $\bar{v}_k$  represented by a R-bit pattern

- Considerations

1. error sensitivity may depend on  $x[n], x[n+1]$  jointly
2. distribution of  $x[n], x[n+1]$  may be correlated statistically
3. more flexible choice of  $J_k$

- Quantization Characteristics (codebook)

$$\{J_1, J_2, \dots, J_L\} \text{ and } \{\bar{v}_1, \bar{v}_2, \dots, \bar{v}_L\}$$

## N-dim Vector Quantization

$$\bar{x} = (x_1, x_2, \dots, x_N)$$

$$S = \{ \bar{x} = (x_1, x_2, \dots, x_N), |x_k| < A, k = 1, 2, \dots, N \}$$

$$S = \bigcup_{k=1}^L J_k, V = \{ \bar{v}_1, \bar{v}_2, \dots, \bar{v}_L \}$$

$$Q : S \rightarrow V$$

$$Q(\bar{x}) = \bar{v}_k \quad \text{if} \quad \bar{x} \in J_k$$

$$L = 2^R, \text{ each } \bar{v}_k \text{ represented by an R-bit pattern}$$

## Codebook Trained by a Large Training Set

- Define distance measure between two vectors  $\bar{x}, \bar{y}$

$$d(\bar{x}, \bar{y}) : S \times S \rightarrow \mathbb{R}^+ \text{ (non-negative real numbers)}$$

- desired properties

$$d(\bar{x}, \bar{y}) \geq 0$$

$$d(\bar{x}, \bar{x}) = 0$$

$$d(\bar{x}, \bar{y}) = d(\bar{y}, \bar{x})$$

$$d(\bar{x}, \bar{y}) + d(\bar{y}, \bar{z}) \geq d(\bar{x}, \bar{z})$$

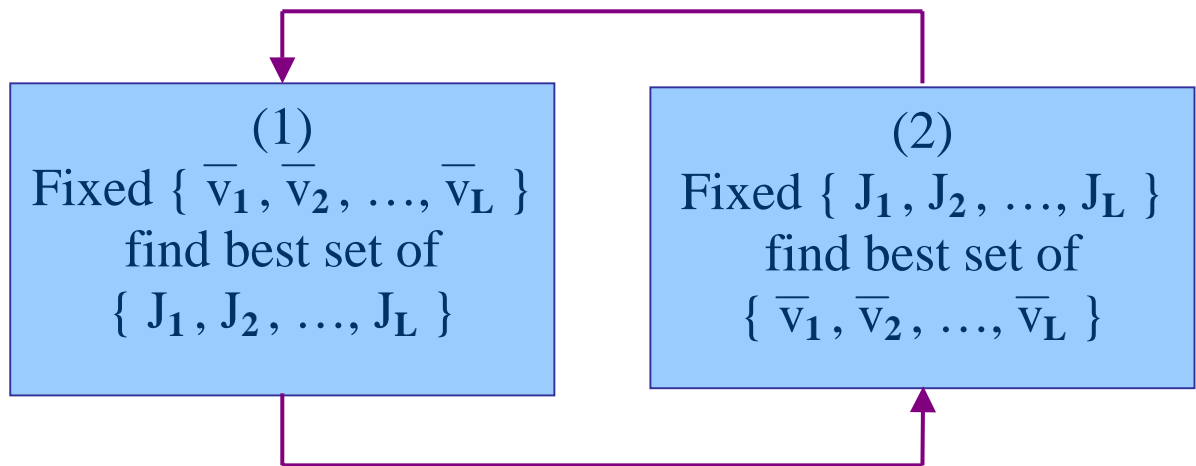
examples :

$$d(\bar{x}, \bar{y}) = \sum_k (x_i - y_i)^2$$

$$d(\bar{x}, \bar{y}) = \sum_k |x_i - y_i|$$

# Codebook Trained by a Large Training Set

## • Iterative training



$$(1) J_k = \{ \bar{x} \mid d(\bar{x}, \bar{v}_k) < d(\bar{x}, \bar{v}_j), j \neq k \}$$

$$\rightarrow D = \sum_{\text{all } \bar{x}} d(\bar{x}, Q(\bar{x})) = \min$$

nearest neighbor condition

(2) For each  $k$

$$\bar{v}_k = \frac{1}{M} \sum_{\bar{x} \in J_k} \bar{x}$$

$$\rightarrow D_k = \sum_{\bar{x} \in J_k} d(\bar{x}, \bar{v}_k) = \min$$

centroid condition

(3) Convergence condition

$$D = \sum_{k=1}^L D_k$$

after each iteration  $D$  is reduced, but  $D \geq 0$

$$| D^{(m+1)} - D^{(m)} | < \epsilon, m : \text{iteration}$$

Lloyd-Max Algorithm

## **Applications of VQ**

- Any set of parameters with somehow related properties can be grouped into a vector for VQ
- Number of bits to be transmitted can be reduced

*Ref: 10.1, 10.2, Gersho and Gray, “Vector Quantization and Signal Compression”, Kluwer, 1992*